SPEAKERS

DISTRIBUTION & PRODUCT SUMMIT

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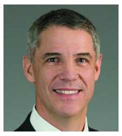


Stephen Ban, Managing Director — Nuveen Investments
Stephen oversees business development, sales, and marketing in a wide range of distribution channels. He also leads the firm's portfolio advisory team, which works in partnership with sales and portfolio managers to ensure that institutional and retail clients have access to timely, detailed market insights and portfolio updates.

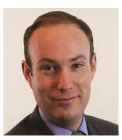
Sandy Bolton, Managing Director, Head of Investment Solutions - Managed Solutions Group — Merrill Lynch
Sandy leads the delivery of the firm's investment solutions offerings across all platforms, including domestic and offshore mutual funds, exchange traded products, separately managed accounts and money market funds.

Jill Brown, President — Principal Funds Distributor, Inc.
Jill is President of Principal Funds Distributor, Inc. for Principal Funds. In this role, she is responsible for the long-term growth of Principal Funds, focusing on sales, assets, and advisor growth.

Tyler Cloherty, Senior Manager — Casey Quirk by Deloitte
As a leader of the firm's Knowledge Center, Tyler manages thought leadership for the firm, directs proprietary research, and creates custom analyses used in client engagements.

James Cove, Senior Vice President — Natixis Global Asset Management
Jim is responsible for managing and developing products for the U.S. mutual fund product lineup, as well as coordinating these efforts among internal constituents and the Natixis investment affiliates.

Craig Dunham, Vice President & General Manager of Financial Services — Seismic
Craig is responsible for the creation and execution of Seismic's go-to-market strategy within the financial services market vertical. This entails daily oversight of public relations, marketing, sales, relationship management and product management functions.



Charles Evans, Director of Business Intelligence & Data Strategy — Invesco
Charles serves as Director of Business Intelligence & Data Strategy at Invesco.

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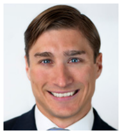
Michael Featherman, Managing Director, Head of Investment Specialists — Envestment, Inc.
Michael is responsible for consulting with financial advisors on asset allocation and investment manager selection for client portfolios. He offers investment insight as a liaison for the PMC Investment Research team and investment guidance to advisors to help them determine the appropriate portfolio strategies for their clients.



Matthew Fronczke, Senior Executive Consultant — DST kasina
Matt is responsible managing key relationships and working on a range of distribution product and digital engagement consulting projects but concentrates much of his time working with clients on broad national sales, national accounts, product strategy, new product development, product rationalization and competitive analysis.

Josh Gates, Business Relationship Manager, Distribution Technology — MFS Investment Management
Josh leads the development of an Information Delivery strategy focused on business intelligence solutions, interactive data, and sales content management.

Dave Gray, Senior Vice President & Retirement Product Leader — Fidelity Investments
Dave serves as Senior Vice President & Retirement Product Leader at Fidelity Investments.

Jim Hinkley, Senior Vice President, Head of Product Management — Macquerie Investment Management
Jim leads Macquarie Investment Management Americas product management group, which includes equity, fixed income, specialty products and solutions as well as the Delaware Funds by Macquarie fund family.

Eric Johnson, Senior Vice President, Head of Digital Distribution & Innovation — OppenheimerFunds
Eric serves as Senior Vice President and Head of Digital Distribution & Innovation at OppenheimerFunds.

Michele Kocak, Area Vice President, Retirement Plan Consulting — Arthur J. Gallagher & Co.
Michelle manages the area branch's retirement plan practice area and focuses on plan design and consulting, annual compliance reviews, managing compliance calendars, educating plan sponsors regarding various retirement plan issues and coordination with TPAs, recordkeepers and other plan vendors.

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Chuck Miller, Partner — K&L Gates

Chuck focuses on the federal securities laws, principally in the area of investment company and investment adviser representation. He handles a wide variety of regulatory, transactional and counseling matters, and has special expertise concerning the operation and distribution of mutual funds and in representing investment advisers and their affiliates in structuring new investment products.

Robert Pettman, Executive Vice President, Product & Platform Management — LPL Financial

Robert leads a team responsible for managing all investment and insurance products and all advisory platforms, including those supporting Registered Investment Advisor (RIA) firms.

Rachel Schnoll, Managing Director, Head of Product Strategy — Goldman Sachs

Rachel leads a team responsible for the development and ongoing product strategy of open-end mutual funds, collective trusts and closed-end funds.

Evan Soso, Vice President & Manager — Charles Schwab

Evan leads a staff of Financial Consultants at help clients to realize their financial goals. Previously, he lead teams in a service center environment as well as an organization of individuals servicing Schwab clients.

Christine Stokes, Managing Director, Retirement Strategist — Nuveen Investments

Christine leads Nuveen's DCIO practice management strategy. Her responsibilities include oversight of DCIO thought leadership and value-add initiatives across institutional and retail market segments as well as the coordination of DCIO product strategy.

John Sturiale, Senior Vice President, Head of Sales & Client Relations — Charles Schwab & Co.

John is a Senior Vice President and Head of Sales and Client Relations supporting Charles Schwab Investment Management, Inc. (CSIM). Prior to leading this team, he led the Product Management and Client Portfolio Strategist Team for CSIM. He also spent time as portfolio manager and head of the business line for the Schwab Bank Collective Trust Funds.

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